

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF GEORGIA -- ATLANTA DIVISION**

WILLIAM M. WINDSOR,)	
Plaintiff)	CIVIL ACTION NO.
)	
v.)	1:11-CV-01922-TWT
)	
JUDGE WILLIAM S. DUFFEY, et al,)	APPEAL NO.
Defendants.)	Unknown
_____)	

APPLICATION FOR IN FORMA PAUPERIS STATUS
FOR ELEVENTH CIRCUIT

Under the assumption that Judge Thomas Woodrow Thrash will once again commit perjury and deny the Application for In Forma Pauperis yet again, Windsor hereby files this Application for In Forma Pauperis for the Eleventh Circuit.

1. Exhibit A hereto is a true and correct copy of the affidavit and financial information that Windsor recently filed in the District Court.
2. Windsor has less than \$16 in the bank. All of Windsor's assets are pledged.
3. The UPDATED financial statement of William M. Windsor shows a negative net worth of \$1,254,574. (Exhibit B is a true and correct copy of the latest Financial Statement.) This Application is verified, and it serves as a sworn affidavit.

4. Windsor is insolvent. The government of the United States says so.

Windsor has no money and a negative net worth of approximately \$1,254,574.

Insolvency is defined in the Bankruptcy Code as a "financial condition such that the sum of [the insolvent] entity's debts is greater than all such entity's property, at a fair valuation." 11 U.S.C. § 101(32).

5. Windsor has no income.

6. The Plaintiffs in Civil Action 1:06-CV-0714-ODE have filed liens on the assets of both Windsor and his wife (though she is not a party to any legal action).

7. Windsor has absolutely not one single asset that he could sell or use as collateral in an attempt to obtain a loan.

8. Windsor was advised to file bankruptcy in 2010. Rather than file bankruptcy, Windsor cashed in his entire 401(k) account and used it to pay court costs, deposits into the registry of the court for improper awards of sanctions, and to pay mortgages. All of that money is now gone. Those funds would have been protected in bankruptcy.

9. Income tax returns for the Windsors show little net income on paper for the last five years. The true financial picture is even worse. Some income was shown on income tax returns, but that income was not real because the costs of the Ball Mill Road home were not fully deductible and so-called "dividends" were

paper transactions that were only increases in the investments that are actually held by Wells Fargo. The Windsors never received that so-called income, but it is “income” for income tax purposes. The Windsors actual financial status for the last five years has been negative cash flow. Expenses have exceeded income. Over the last few years, Windsor has gotten money by withdrawals from his 401(k) account. That money is now gone. Windsor received a small inheritance from his father following his death in late 2008/early 2009 – perhaps \$25,000. That money is now gone.

10. Windsor sold his magazine publishing business in 1981-82. He foolishly invested most of the proceeds after taxes in developing a 600-acre tract of land in Dallas County, Texas. An MAI appraisal scandal in the Dallas area, economic problems in Texas, and the inability of Windsor’s small bank to get other banks to participate in an orally-committed loan put Windsor in a serious financial bind. Efforts to obtain financing, despite an MAI Appraisal of \$8.1 million, were hopeless. Windsor went through a series of buyers who did not close. The last of the potential buyers was Vernon Hulme. Hulme put up a significant amount of non-refundable earnest money, and it appeared the Windsors would survive and salvage \$1 to \$2 million after paying off the mortgages and other related debt. Then Windsor received a call from the Securities and Exchange

Commission. It seemed that Hulme had used fraudulent stock certificates as collateral to obtain loans. The money paid to Windsor as earnest money was obtained in that manner. See http://articles.orlandosentinel.com/1985-10-11/business/0330380221_1_stock-certificates-hulme-securities-transfer
http://articles.orlandosentinel.com/1986-06-23/business/8606270601_1_hulme-civil-contempt-civil-proceedings
<http://www.sec.gov/news/digest/1985/dig101685.pdf> This mess had the property tied up for many months. Windsor testified for the SEC. The Windsors sold their home in Dallas (despite the fact they were advised that in Texas, residences are protected in bankruptcy) and used the \$300,000 proceeds to buy time to try to find a buyer. Time finally ran out, and the Windsors ended up with nothing after Chapter 7 liquidation in 1986-87. The trustee had enough assets to pay all other bills after the bank agreed to take the property back. There should have been money left over, but it magically disappeared in the wonderful world of trustees, attorneys, courts, and dishonest creditors. The Windsors received \$0.00, so all they had was their furniture and clothes.

11. From 1987 to 1996, Windsor worked for others and did some consulting work. From 1992 to 1996, he was employed by Advanstar, a large publishing and trade show company owned by Goldman Sachs. Two of those

years were based in Chester, England. The Windsors had bought another home in Dallas with the proceeds from a consulting job. While the Windsors were living in England, their property value plummeted when a nearby apartment complex became a center for drug activity. The Windsors had to sell for a price that resulted in a loss of virtually all of their equity.

12. From 1996 to 2001, Windsor was employed by an entity owned by Bain Capital. He had ownership options, but they were never realized. Windsor resigned from the company in 2001.

13. In April 2007, Windsor was attracted to the home at 3924 Lower Roswell Road, Marietta, Georgia 30068, he thought the Ball Mill Road home would sell quickly, though it had been on the market for a while without a contract offer. There were, however, a number of lookers. The loan on 3924 Lower Roswell Road, Marietta, Georgia closed in late June 2007. The Windsors have attempted to sell both homes with no success. The values of both homes are substantially lower than the purchase prices.

14. In August 2007, Judge Orinda D. Evans committed perjury and other crimes when she ordered Windsor to pay approximately \$450,000 in Maid of the Mist's legal fees in Civil Action No. 1:06-CV-0714-ODE. She has subsequently committed many felonies and has wrongfully ordered Windsor to pay hundreds of

thousands of dollars in sanctions. The total legal expense in 1:06-CV-0714-ODE is now over \$1,500,000. Windsor owes his son \$1,000,000 in legal expense that was paid by his son and his son's company that Windsor was responsible for. There is a promissory note for this amount.

15. On September 16, 2008, there was the start of a stock market crash due to failures of massive financial institutions in the United States, due primarily to exposure of securities of packaged subprime loans and credit default swaps issued to insure these loans and their issuers. Windsor's agent at Wachovia told him to hang in there rather than sell his mutual funds and modify the collateral with Wachovia to a money market account. The advice was terrible, and the value of Windsor's mutual fund account and 401(k) dropped by as much as 40%.

16. Windsor's financial situation is the result of (1) the criminal acts of Judge Orinda D. Evans and Judge William S. Duffey, (2) the real estate crisis, and (3) the stock market crash.

17. Windsor's financial efforts over the last three years have been centered on two things. First, money was withdrawn from IRA funds or borrowed to keep the two mortgages current. While there may be little or no equity available from either home, the homes represent the potential to realize something. Second,

all efforts have been made to correct the gross miscarriage of justice in the federal courts in Atlanta and Washington, DC.

18. Exhibit C hereto is a true and correct copy of the Affidavit of Ryan Michael Windsor. Exhibit D hereto is a true and correct copy of the Affidavit of Harry Perkins. These affidavits provide independent testimony regarding key aspects of Windsor's financial information.

19. Windsor does not have the ability to pay. There is no money. He has no income. There are no assets available for sale. There are current debts of \$1,254,574 that take precedence over paying for anything new. Monthly and annual expenses significantly exceed the income of Windsor's wife.

Submitted, this 4th day of October 2011.



William M. Windsor
Pro Se

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VERIFICATION OF WILLIAM M. WINDSOR

I, William M. Windsor, swear that I am authorized to make this verification and that the facts alleged in the foregoing are true and correct based upon my personal knowledge, except as to the matters herein stated to be alleged on information and belief, and that as to those matters I believe them to be true. This Notice is also a Sworn Affidavit.

In accordance with 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct based upon my personal knowledge.

This 4th day of October 2011.

A handwritten signature in black ink, appearing to read "William M. Windsor", written in a cursive style.

William M. Windsor

CERTIFICATE OF COMPLIANCE

As required by Local Rule 7.1D, N.D. Ga., I hereby certify that this pleading has been prepared in Times New Roman 14-point font, one of the font and point selections approved by this Court in Local Rule 5.1B, N.D. Ga.



William M. Windsor

Pro Se

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CERTIFICATE OF SERVICE

I hereby certify that I have served the foregoing by depositing the same with the United States Postal Service with sufficient postage and addressed as follows:

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ASSISTANT U.S. ATTORNEY
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This 4th day of October 2011.



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